



Filing ID #10026752

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Dwight Evans
Status: Member
State/District: PA03

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2018
Filing Date: 05/14/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
1602 E CARDEZA ST [RP]		\$100,001 - \$250,000	None	<input type="checkbox"/>
LOCATION: Philadelphia, PA, US				
COMMONWEALTH OF PA PENSION [PE]		\$500,001 - \$1,000,000	Tax-Deferred	<input type="checkbox"/>
PROEQUITIES ⇒ Alibaba Group Holding Limited American Depository Shares each representing one Ordinary share (BABA) [ST]		\$1,001 - \$15,000	None	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		\$15,001 - \$50,000	None	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ Amazon.com, Inc. (AMZN) [ST]		\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ AMERICAN BEACON BRIDGEWAY [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ American Water Works Company, Inc. (AWK) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
PROEQUITIES ⇒ Apple Inc. (AAPL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ BARCLAYS BK PLC IPATH BLOOMBERG [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$1,001 - \$15,000	None	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ CENTERSTONE INVESTORS [MF]		None	Capital Gains	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ DELAWARE DIVERSIFIED INCOME [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ DELAWARE SMALL-CAP VALUE [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ DREYFUS [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
PROEQUITIES ⇒ ETHO CLIMATE LEADERSHIP [MF]		None	Capital Gains	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ FIRST TR CHINDIA ETF [EF]		\$1,001 - \$15,000	Dividends	<input type="checkbox"/>
PROEQUITIES ⇒ FRANKLIN INCOME FUND [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ GOLDMAN SACHS EMERGING MARKETS [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Google Inc. - Class C Capital Stock When Issued (GOOCV) [ST]		None	Capital Gains	\$5,001 - \$15,000 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Hannon Armstrong Sustainable Infrastructure Capital, Inc. (HASI) [ST]		None	Capital Gains	\$201 - \$1,000 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ HENNESSY GAS UTILITY [MF]		None	Capital Gains	\$201 - \$1,000 <input checked="" type="checkbox"/>
PROEQUITIES ⇒		\$15,001 - \$50,000	Dividends	\$201 - <input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Huntington Ingalls Industries, Inc. (HII) [ST]				\$1,000
PROEQUITIES ⇒ Intel Corporation (INTC) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ INVESCO BLDRS INDEX FDS [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
PROEQUITIES ⇒ ISHARES INC EMERGING MARKETS [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ ISHARES TR 1-3 YR TREAS [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ ISHARES TR CORE INTL [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ ISHARES TR CORE S&P SMALL-CAP [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ ISHARES TR CORE U S AGGREGATE [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ ISHARES TR SHORT-TERM CORP [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
PROEQUITIES ⇒ JPMORGAN MID-CAP GROWTH [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Kinder Morgan, Inc. (KMI) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
PROEQUITIES ⇒ MFS INTERNATIONAL VALUE FUND [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Microsoft Corporation (MSFT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ NextEra Energy, Inc. (NEE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
PROEQUITIES ⇒ ONEOK, Inc. (OKE) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500 <input type="checkbox"/>
PROEQUITIES ⇒ OPPENHEIMER DEVELOPING MARKETS [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Pfizer, Inc. (PFE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ REAVES UTILS ETF [MF]		None	Capital Gains	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ RESOURCE REAL ESTATE DIVERSIFIED [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depository Shares (RDS.A) [ST]	Royal Dutch Shell PLC Royal Dutch Shell American Depository Shares (RDS.A) [ST]	\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ SPDR INDEX SHS FDS DOW JONES GLOBAL [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ SPDR SER TR BLOOMBERG [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Targa Resources Partners LP Common Units Representing Limited Partnership Interests (NGLS) [ST]	Targa Resources Partners LP Common Units Representing Limited Partnership Interests (NGLS) [ST]	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500 <input type="checkbox"/>
PROEQUITIES ⇒ TEMPLETON GLOBAL BOND FUND [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	Thermo Fisher Scientific Inc (TMO) [ST]	\$15,001 - \$50,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Transdigm Group Incorporated Transdigm Group Inc. (TDG) [ST]	Transdigm Group Incorporated Transdigm Group Inc. (TDG) [ST]	\$15,001 - \$50,000	Dividends	\$1 - \$200 <input type="checkbox"/>
PROEQUITIES ⇒ VANGUARD INDEX FDS MID-CAP [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ VANGUARD INDEX FDS REAL ESTATE [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
PROEQUITIES ⇒ VANGUARD INTL EQUITY INDEX [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ VANGUARD TAX-MANAGED INTL [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ VANGUARD WORLD FD [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ WELLS FARGO SHORT-TERM BOND [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PROEQUITIES ⇒ Alibaba Group Holding Limited American Depository Shares each representing one Ordinary share (BABA) [ST]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		08/17/2018	P	\$15,001 - \$50,000	
PROEQUITIES ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		08/20/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Amazon.com, Inc. (AMZN) [ST]		08/17/2018	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ AMERICAN BEACON BRIDGEWAY [MF]		08/17/2018	P	\$15,001 - \$50,000	
PROEQUITIES ⇒ American Water Works Company, Inc. (AWK) [ST]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ AmTrust Financial Services, Inc. Depository Shares Representing 1/40th Preferred Series C (AFSCP) [ST]		08/17/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
PROEQUITIES ⇒ Apple Inc. (AAPL) [ST]		08/17/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PROEQUITIES ⇒ BARCLAYS BK PLC IPATH BLOOMBERG [EF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ CENTERSTONE INVESTORS [MF]		08/17/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ Colony Capital, Inc. (CLNY) [ST]		08/17/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
PROEQUITIES ⇒ DELAWARE DIVERSIFIED INCOME [MF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ DELAWARE SMALL CAP [MF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ ETHO CLIMATE LEADERSHIP US ETF [MF]		08/17/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ FRANKLIN INCOME FUND [OT]		04/10/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: MUTUAL FUNDS					
PROEQUITIES ⇒ FRANKLIN INCOME FUND [MF]		08/17/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
PROEQUITIES ⇒ GOLDMAN SACHS EMERGING MARKETS [MF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Google Inc. - Class C Capital Stock When Issued (GOOCV) [ST]		08/17/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ Hannon Armstrong Sustainable Infrastructure Capital, Inc. (HASI) [ST]		08/17/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ HENNESSY GAS UTILITY [MF]		08/17/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
PROEQUITIES ⇒		08/17/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Intel Corporation (INTC) [ST]					
PROEQUITIES ⇒ ISHARES 1-3 YEAR TREASURY BOND [EF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ ISHARES CORE INTERNATIONAL AGGT [EF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ ISHARES CORE S&P SMALL CAP [EF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ ISHARES CORE US AGGREGATE [EF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ ISHARES EMERGING MARKETS [MF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ ISHARES TR SH TR CRPORT [EF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ JP Morgan Chase & Co. (JPM) [ST]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ JPMORGAN MID CAP GROWTH [MF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Macquarie Infrastructure Corporation (MIC) [ST]		08/17/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ MADISON COVERED CALL & EQUITY [MF]		08/17/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ MFS INTERNATIONAL VALUE [MF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Microsoft Corporation (MSFT) [ST]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ NextEra Energy, Inc. (NEE) [ST]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Nokia Oy Corp Ab A Shs Ordinary Shares (NOKBF) [ST]		08/17/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PROEQUITIES ⇒ NUVEEN REAL ASSET INCOME [MF]		08/17/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
PROEQUITIES ⇒ OPPENHEIMER DEVELOPING MARKETS [MF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Orchids Paper Products Company (TIS) [ST]		08/17/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ Pfizer, Inc. (PFE) [ST]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ REAVES UTILS ETF [MF]		08/17/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ RESOURCE REAL ESTATE DIVERSIFIED [MF]		08/21/2018	P	\$15,001 - \$50,000	
PROEQUITIES ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depository Shares (RDS.A) [ST]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ SPDR BLOOMBERG BARCLAYS [EF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ SPDR DOW JONES GLOBAL [EF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ TEMPLETON GLOBAL BOND [MF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ THE OSTERWEIS STRATEGIC INCOME FUND [OT]		04/10/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: US					
DESCRIPTION: MUTUAL FUND					
PROEQUITIES ⇒ Thermo Fisher Scientific Inc (TMO) [ST]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ TravelCenters of America LLC 8.25% Senior Notes due 2028 (TANN) [ST]		08/17/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
PROEQUITIES ⇒		08/17/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
VANGUARD FTSE DEVELOPING MARKETS [EF]					
PROEQUITIES ⇒ VANGUARD FTSE EMERGING MARKETS [EF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ VANGUARD MEGA CAP ETF [EF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ VANGUARD MID-CAP ETF [EF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ VANGUARD REIT ETF [EF]		08/17/2018	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ WELLS FARGO SHORT-TERM BOND [MF]		08/17/2018	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
COMMONWEALTH OF PA	PENSION	\$92,141.40
LINCOLN NATIONAL LIFE INS	INSURANCE CONTRACTS	\$1,398.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
PA STATE EMPLOYEES CREDIT UNION		09/13/2013	MORTGAGE	\$15,001 - \$50,000
NATIONSTAR MORTGAGE dba MR COOPER		09/29/2017	MORTGAGE	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2019	Commonwealth of PA and Dwight Evans	Participation in an employee health care benefits from the Commonwealth of Pennsylvania.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
CONGRESSIONAL BLACK CAUCUS POLITICAL EDUCATION & LEADERSHIP	08/9/2018	08/11/2018	PHILADELPHIA - TUNICA, MS - PHILADELPHIA, PA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- PROEQUITIES

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Dwight Evans , 05/14/2019